

# The Depth of Subgroups and Subrings

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## Group Representation Theory and Related Topics

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This is a report on joint work with

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**Origin:** Operator algebras

**Background:**

F. M. GOODMAN, P. DE LA HARPE, V. F. R. JONES,  
Coxeter graphs and towers of algebras,  
Springer-Verlag, New York 1989

A **ring extension** consists of (associative unitary) rings  $B \subseteq A$  such that  $1_B = 1_A$ .

**Main example in this talk:**

$$RH \subseteq RG$$

where  $H$  is a subgroup of a finite group  $G$  and  $R \neq 0$  is a commutative ring.

# Depth 1 and 2

A ring extension  $B \subseteq A$  has **depth 1** if

$$A \mid B^n \text{ in } {}_B\mathbf{Mod}_B$$

for some  $n \in \mathbb{N}$ .

(“ $\mid$ ” means: “is isomorphic to a direct summand of”)

A ring extension  $B \subseteq A$  has **depth 2** if

$$A \otimes_B A \mid A^n \text{ in } {}_A\mathbf{Mod}_B \text{ and } {}_B\mathbf{Mod}_A$$

for some  $n \in \mathbb{N}$ .

**Remark:** depth 1  $\implies$  depth 2

# Induction and Restriction

For categories  $\mathcal{C}$ ,  $\mathcal{D}$  and functors  $\Phi, \Psi: \mathcal{C} \rightarrow \mathcal{D}$ , we write  $\Phi \mid \Psi$  if there are natural transformations  $\iota: \Phi \rightarrow \Psi$ ,  $\pi: \Psi \rightarrow \Phi$  such that  $\pi \circ \iota = \text{id}_\Phi$ .

## Proposition

A ring extension  $B \subseteq A$  has depth 2

$\iff$  there is  $n \in \mathbb{N}$  such that

$$\text{Res}_B^A \text{Ind}_B^A \text{Res}_B^A \mid (\text{Res}_B^A)^n,$$

as functors  ${}_A \mathbf{Mod} \rightarrow {}_B \mathbf{Mod}$  and  $\mathbf{Mod}_A \rightarrow \mathbf{Mod}_B$

$\iff$  there is  $n \in \mathbb{N}$  such that

$$\text{Ind}_B^A \text{Res}_B^A \text{Ind}_B^A \mid (\text{Ind}_B^A)^n,$$

as functors  ${}_B \mathbf{Mod} \rightarrow {}_A \mathbf{Mod}$  and  $\mathbf{Mod}_B \rightarrow \mathbf{Mod}_A$ .

$R \neq 0$  commutative ring

$H \leq G$  finite groups

$RH \subseteq RG$  group algebras

**Proposition (BOLTJE - K. 2010)**

The ring extension  $RH \subseteq RG$  has **depth 2** iff  $H$  is normal in  $G$ .

## Remarks:

(i) This generalizes an earlier result (KADISON - K. 2006) for the case  $R = \mathbb{C}$ .

(ii) One has a similar result for Hopf algebras.

## Corollary (BOLTJE-K.)

- If  $G = HC_G(H)$  then the ring extension  $RH \subseteq RG$  has **depth 1**, for any commutative ring  $R \neq 0$ . The converse, however, does not hold.
- Let  $K$  be a field of characteristic 0. Then the ring extension  $KH \subseteq KG$  has **depth 1** iff  $G = HC_G(h)$  for every  $h \in H$ .
- Let  $F$  be a field of characteristic  $p > 0$ . The ring extension  $FH \subseteq FG$  has **depth 1** iff  $G = HC_G(E)$  for every  $p$ -hypo-elementary subgroup  $E$  of  $H$ .

## Remarks:

- (i) Here a group  $E$  is called  **$p$ -hypo-elementary** if  $E$  contains a normal subgroup  $N$  of  $p$ -power order such that  $E/N$  is cyclic.
- (ii) We do not have a group-theoretic condition characterizing depth 1 extensions  $\mathbb{Z}H \subseteq \mathbb{Z}G$  of integral group rings.

$B \subseteq A$  ring extension

depth 1:  $A \mid B^n$  in  ${}_B \mathbf{Mod}_B$

depth 2:  $A \otimes_B A \mid A^n$  in  ${}_A \mathbf{Mod}_B$  and  ${}_B \mathbf{Mod}_A$

depth 3:  $A \otimes_B A \mid A^n$  in  ${}_B \mathbf{Mod}_B$

depth 4:  $A \otimes_B A \otimes_B A \mid (A \otimes_B A)^n$  in  ${}_A \mathbf{Mod}_B$  and  ${}_B \mathbf{Mod}_A$

...

**Remark:** (i) depth  $n \implies$  depth  $n + 1$

So one is usually interested in the **minimal** depth  $d(B, A)$ .

(ii) Larger depth can also be expressed via induction and restriction functors, e.g. the depth 3 condition means:

$$\text{Res}_B^A \text{Ind}_B^A \text{Res}_B^A \text{Ind}_B^A \mid (\text{Res}_B^A \text{Ind}_B^A)^n,$$

for some  $n > 0$ .

$R \neq 0$  commutative ring

$H \leq G$  finite groups

## Theorem (BOLTJE-DANZ-K.)

The ring extension  $RH \subseteq RG$  has finite depth. More precisely,

$$d(RH, RG) \leq 2k - 1 < \infty$$

where  $k$  denotes the number of conjugacy classes of subgroups of  $H \times H$ .

$G, H, K$  finite groups

## Definition.

A  $G$ - $H$ -biset is a (finite) set  $X$ , together with a left  $G$ -action and a right  $H$ -action, such that both actions commute. Every  $G$ - $H$ -biset  $X$  can be considered as a left  $G \times H$ -set via

$$(g, h) \cdot x := g \cdot x \cdot h^{-1} \quad \text{for } g \in G, h \in H, x \in X,$$

and conversely. For a  $G$ - $H$ -biset  $X$  and an  $H$ - $K$ -biset  $Y$ , one can define a  $G$ - $K$ -biset

$$X \times_H Y := \{[x, y] : x \in X, y \in Y\}$$

where  $[x, y]$  is the  $H$ -orbit of  $(x, y)$  under the action defined by  $(x, y) \cdot h := (x \cdot h, h^{-1} \cdot y)$  for  $x \in X, h \in H, y \in Y$ .

The  $G$ - $H$ -bisets form a category  ${}_G\mathbf{Set}_H$ ; the morphisms are the  $G$ - $H$ -equivariant maps.

Iterating the construction on the previous slide one can define the  $G$ - $G$ -biset

$$\Theta_n := \Theta_n(H, G) := G \times_H \cdots \times_H G \quad (n \text{ factors}),$$

for  $n > 0$ . In addition, we have the  $H$ - $H$ -biset

$$\Theta_0 := \Theta_0(H, G) := H.$$

Then  $RG \otimes_{RH} \cdots \otimes_{RH} RG = R\Theta_n(H, G)$  for every  $n$ .

Moreover, we have chains of morphisms in  ${}_H\mathbf{Set}_G$  and  ${}_G\mathbf{Set}_H$ :

$$\Theta_1 \hookrightarrow \Theta_2 \hookrightarrow \cdots$$

$H \leq G$  finite groups

## Definition.

If  $n \geq 0$  and  $\Theta_{n+1} \hookrightarrow (\Theta_n)^m$  in  ${}_H\mathbf{Set}_H$  for some  $m > 0$  then  $H$  is said to have **depth  $2n + 1$**  in  $G$ .

If  $n > 0$  and  $\Theta_{n+1} \hookrightarrow (\Theta_n)^m$  in  ${}_H\mathbf{Set}_G$  and  ${}_G\mathbf{Set}_H$  for some  $m > 0$  then  $H$  is said to have **depth  $2n$**  in  $G$ .

## Remarks.

- (i) Here  $X^m$  denotes the disjoint union of  $m$  copies of  $X$ .
- (ii) Depth  $d$  implies depth  $d + 1$ . So one is usually interested in the **minimal** depth  $d(H, G)$ .

$H \leq G$  finite groups

$R \neq 0$  commutative ring

## Theorem (BOLTJE-DANZ-K.)

Let  $k$  be the number of conjugacy classes of subgroups of  $H \times H$ .

Then

$$d(RH, RG) \leq d(H, G) \leq 2k - 1 < \infty.$$

In particular,  $H$  has always finite depth in  $G$ .

## Theorem (BOLTJE-DANZ-K.)

(i)  $d(H, G) = 1$  if and only if  $G = HC_G(H)$ .

(ii)  $d(H, G) \leq 2$  if and only if  $H$  is normal in  $G$ .

(iii)  $d(H, G) \leq 3$  if  $H$  is a TI-subgroup of  $G$ , i.e.

$$H \cap gHg^{-1} \in \{H, 1\} \text{ for } g \in G.$$

# Depth of subalgebras

$R, S$  non-zero commutative rings

$H \leq G$  finite groups

## Proposition

(i) If  $R \rightarrow S$  is a unitary ring homomorphism then

$$d(SH, SG) \leq d(RH, RG).$$

(ii) If  $R \subseteq S$  is a field extension then  $d(SH, SG) = d(RH, RG)$ .

One can therefore define  $d_0(H, G) := d(KH, KG)$  where  $K$  is a field of characteristic 0, and  $d_p(H, G) := d(FH, FG)$  where  $F$  is a field of characteristic  $p > 0$ . Then

$$d_0(H, G) \leq d_p(H, G) \leq d(\mathbb{Z}H, \mathbb{Z}G) \leq d(H, G).$$

## Symmetric groups

$d(S_n, S_{n+1}) = 2n - 1$  and  $d(RS_n, RS_{n+1}) = 2n - 1$  for every  $n \geq 1$  and every commutative ring  $R \neq 0$ .

## Alternating groups

- $d(A_n, A_{n+1}) = 2n - 3$  for  $n \geq 2$ .
- $d_0(A_n, A_{n+1}) = 2n - 2\lceil\sqrt{n}\rceil + 1$  for  $n \geq 2$ .
- We don't know (yet) the values of  $d_p(A_n, A_{n+1})$  for  $p > 0$ .

$H \leq G$  finite groups

$$\text{Irr}(G) = \{\chi_1, \dots, \chi_r\}$$

$$\text{Irr}(H) = \{\psi_1, \dots, \psi_s\}$$

Write

$$\text{Ind}_H^G(\psi_j) \cong \bigoplus_{i=1}^r m_{ij} \chi_i \quad (j = 1, \dots, s).$$

**Frobenius reciprocity:**

$$\text{Res}_H^G(\chi_i) \cong \bigoplus_{j=1}^s m_{ij} \psi_j \quad (i = 1, \dots, r).$$

Then  $M := (m_{ij}) \in \mathbb{N}_0^{r \times s}$  is called the **inclusion matrix** of the ring extension  $\mathbb{C}H \subseteq \mathbb{C}G$ .

- $M$  is the matrix of  $\text{Ind}_H^G: K_0(H) \rightarrow K_0(G)$
- $M^\top$  is the matrix of  $\text{Res}_H^G: K_0(G) \rightarrow K_0(H)$
- $M^2 := M^\top M$  is the matrix of  $\text{Res}_H^G \text{Ind}_H^G$
- $M^3 := M M^\top M$  is the matrix of  $\text{Ind}_H^G \text{Res}_H^G \text{Ind}_H^G$
- ...

## Proposition

The ring extension  $\mathbb{C}H \subseteq \mathbb{C}G$  has depth  $n \geq 2$   
 $\iff Z(M^{n+1}) = Z(M^{n-1})$

Here  $Z(M) = \{(i, j) : m_{ij} = 0\}$ .

## Example:

$$A = \mathbb{C}S_3,$$

$$B = \mathbb{C}S_2,$$

$$\text{Irr}(A): 1, 2, 1$$

$$\text{Irr}(B): 1, 1$$

$$M = \begin{pmatrix} 1 & 0 \\ 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad M^2 = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}, \quad M^3 = \begin{pmatrix} 2 & 1 \\ 3 & 3 \\ 1 & 2 \end{pmatrix}, \dots$$

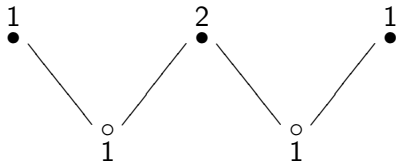
Thus  $Z(M^4) = \emptyset = Z(M^2)$ , so the minimal depth is **3**.

# Inclusion graph $\Gamma$ (Bratteli diagram)

Vertices:  $\chi_1, \dots, \chi_r, \psi_1, \dots, \psi_s$

Edges:  $\chi_i \text{ --- } \psi_j : \iff m_{ij} \neq 0$

**Example:**  $\mathbb{C}S_2 \subseteq \mathbb{C}S_3$



## Proposition

The ring extension  $\mathbb{C}H \subseteq \mathbb{C}G$  has depth  $2n + 1$  iff any two irreducible characters of  $H$  in the same connected component have distance at most  $2n$  in  $\Gamma$ .

$H \leq G$  finite groups

$K := \text{Core}_G(H) := \bigcap_{g \in G} gHg^{-1} \trianglelefteq G$  core of  $H$  in  $G$

$\Gamma$  inclusion graph of  $\mathbb{C}H \subseteq \mathbb{C}G$

## Proposition

(i) There is a bijection

$$\{\text{connected components of } \Gamma\} \longleftrightarrow \{G\text{-orbits on } \text{Irr}(K)\}.$$

(ii) If  $K$  is the intersection of  $n$  conjugates of  $H$  in  $G$  then  $\mathbb{C}H \subseteq \mathbb{C}G$  has depth  $2n$  (even  $2n - 1$  if  $K \subseteq Z(G)$ ).

## Example:

$$G = S_4,$$

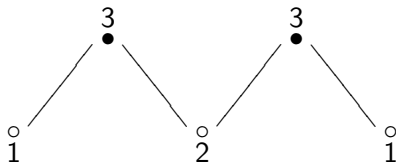
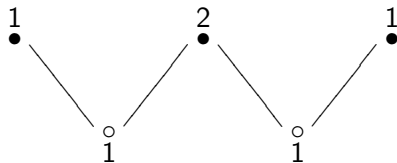
$$H = D_8 \text{ (dihedral group),}$$

$$K = V_4 \text{ (Klein four group),}$$

$$\text{Irr}(G): 1, 3, 2, 3, 1$$

$$\text{Irr}(H): 1, 1, 1, 1, 2$$

$$\text{Irr}(K): \{1\}, \{1, 1, 1\}$$



2 connected components, minimal depth 4.

**Happy Birthday, Jacques**